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Self-Organizing Maps For Knowledge Discovery From Corporate Databases To Develop Risk Based Prioritization For Stagnation

Stephen Robert Mounce

Rebecca Sharpe

Vanessa Speight

Barrie Holden

Joby Boxall

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KNOWLEDGE DISCOVERY FROM LARGE DISPARATE CORPORATE DATABASES USING SELF-ORGANISING MAPS TO HELP ENSURE SUPPLY OF HIGH QUALITY POTABLE WATER

S. R. MOUNCE (1), R. SHARPE (1), V. SPEIGHT (1), B. HOLDEN (2) & J. B. BOXALL (1).

(1): *Pennine Water Group, Department of Civil and Structural Engineering, University of Sheffield, Sheffield S1 3JD, UK.*

(2): *Anglian Water Services, Thorpe Wood, Peterborough, PE3 6WT.*

Stagnation or low turnover of water within water distribution systems may result in water quality issues. This can even occur during relatively short durations of stagnation / low turnover if other factors such as deteriorated ageing pipe infrastructure are present. This paper presents results of applying data driven tools to the disparate large corporate databases maintained by UK water companies to investigate this issue. These databases include multiple information sources such as asset data, hydraulic characteristics, regulatory water quality sampling etc., but are typically maintained separately. A huge growth in data volumes is enabling innovation in the data-exploration techniques of analytics, modelling and visualisation to generate new insight and value from large amounts of complex data. A range of techniques exist for exploring the interrelationships between various types of variables. Self Organising Maps (SOMs) are a class of unsupervised Artificial Neural Network (ANN) that perform dimensionality reduction of the feature space to yield topologically ordered maps. Specifically, in this application SOMs performed multidimensional data analysis of a case study area (covering a town sized area for an eight year period). The visual output of the SOM analysis provides a rapid and intuitive means of examining covariance between variables and exploring hypotheses for increased understanding. For example, water age (time from system entry, from hydraulic modelling) in combination with high pipe specific residence time and old cast iron pipe were found to be strong explanatory variables.

INTRODUCTION

In a Water Distribution System (WDS) stagnation is generally defined as low turnover. Stagnation/ low turnover encourages particles to settle and biofilms to develop which can influence water quality by providing a longer term store for particles, metals and bacteria as well as encouraging extra growth [1]. As closure of valves for leakage monitoring and control continues to increase, it is likely that more dead ends and hence potential stagnant zones will be created within WDSs. In order to become more customer focused, to improve levels of service and water quality compliance there is a need for water companies to obtain better understanding of stagnation occurrence and effects. This is vital to drive a move from a reactive to a proactive form of management, to mitigate any increase in water quality risk due to stagnant zones.

Water companies have large datasets garnered over time which describe the network (pipe assets) and water quality sampling which have been collected within the network. Company databases in an unprocessed format do not easily lend themselves to direct analysis to establish any relationships or trends in the data. With advances in data manipulation and analysis systems, in particular the integration of GIS information with data mining methodologies, it is now possible to explore relationships between data in increasingly sophisticated ways.

The aim of this paper was to evaluate and analyze available Water Company data for a case study area to determine associations between deterioration of water quality (using proxy measurements such as high iron, manganese and turbidity) and stagnation in WDSs.

BACKGROUND

Stagnant water in a WDS can occur due to oversized storage facilities, dead end pipes or areas that experience periods of limited use [2]. Such conditions can have a negative impact on water quality. Distribution systems dead ends are problematic locations for water quality failures, high residences times and the absence of residual disinfections create a susceptible environment for biological growth [3]. Factors which could increase the negative effects of stagnation include pipe material, previous water quality, source water, temperature and previous hydraulic events such as bursts.

Company databases in an unprocessed format do not easily lend themselves to direct analysis to establish any relationships or trends in the data. The databases are generally on separate platforms, in differing formats, with non-uniform IDs and contain many unpopulated or company specific default value data fields in their raw form. Previous work has examined asset databases and customer service records providing information relating to bursts leakage and water quality complaints for example Unwin et al. [4]. This was primarily based on proximity searches and visual mining.

Clustering aims to discover structure in a complex data set and is useful when natural groupings are suspected but there are many competing patterns in the data. It is an explorative process in the field of data mining with the main goal being knowledge discovery i.e. deriving information from data without any previous knowledge or preconceived opinions. Many methods exist for finding clusters in data from both the statistical and artificial intelligence domains and hence there is a large toolbox of approaches to use. Artificial Neural Networks (ANN) have been used for modelling water quality variables for different aspects of drinking water systems and a comprehensive review is contained in Wu et al. [5]. One ANN approach which can be used for clustering and visual data mining/exploration is the Kohonen Self Organising (Feature) Map (SOM). There are some applications for which the 'correct' outputs are unknown. In unsupervised learning (also referred to as self-organisation) the inputs are presented to an ANN which forms its own classifications of the training data. The SOM is one of the most well-known ANNs employing unsupervised learning, first proposed by Kohonen [6], and has the properties of both vector quantization and vector projection algorithms. The prototype vectors are positioned on a regular low-dimensional grid in a spatially ordered fashion, hence facilitating improved visualisation. SOMs have been used for analysis and modelling of water resources, including applications such as river flow and rainfall-runoff and surface water quality

as reviewed in Kalteh et al. [7]. Mounce et al. [8] proposed their use in data mining microbiological and physico-chemical data for laboratory pipe rig data.

CASE STUDY

Data was provided by the water industry partner for a specific region: a production water supply zone containing a mix of urban and rural areas for a town with approximately 5000 customers (see figure 1). The area was chosen due to good data availability and suspected previous issues with stagnation. It is supplied with a chloraminated surface water source. The following data was obtained for the case study for an eight year period: regulatory water quality (spot sampling with sparse temporal and spatial resolution such as iron, manganese, pH, turbidity, temperature, conductivity, total chlorine); GIS asset data (such as pipe material, diameter, length) and connectivity; customer water quality and water service contacts; hydraulic model information such as maximum velocity and water age and turbidity / iron / manganese failures.

After preliminary data exploration some of the following problems were identified:

- Inconsistent data / lack of uniform referencing between differing data sets
- Sparse data sampling rate for regulatory data
- Potential errors in the data
- Limited information on service pipes connections may prevent analysis of findings
- Rezoning year on year

These issues are typical of all UK water service providers and more generally for most large, lengthy period, disparate datasets. The data analysis techniques employed in the study were selected to try and address such issues as far as possible.

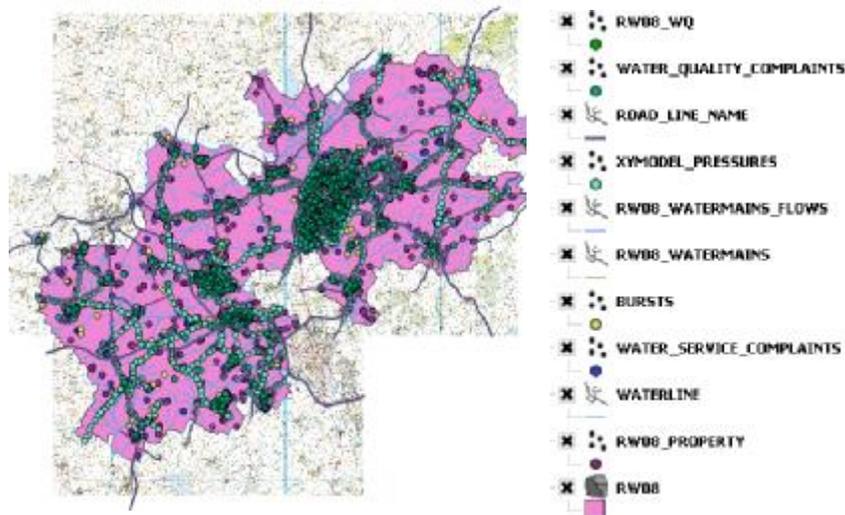


Figure 1. Case study area

METHODS

Feature selection

A subset of the variables that were considered of possible interest were selected. GIS was utilised to link between different corporate systems. For example, the Joint Combine function

was used in ArcGIS to associate the nearest pipeline to a sample (proximity search) and then collate this data into a table. A total of over 9000 unique sample records were available from the original thematic layer, covering an eight year period and with theoretically many possible fields (>150). However, in practice many of these fields were specific to the corporate database, empty, or repeated information. Some variables were considered but not included for analysis generally due to being exceedingly sparse. The most promising fields were selected as set out in Table 1, along with fields indicated from asset records and modelled data (which was obtained and linked between datasets). In Table 1, 'condition code' is a value between 1 and 5, (local) residence time was calculated directly using (absolute) velocity and length for each pipe and water age is the cumulative local residence time from point of entry.

Table 1. Variables incorporated in SOM analysis

Variable	Type	Units	Variable	Type	Units
Turbidity	Water quality	FTU	Length	Asset	m
Iron	Water quality	mg/L	Iron	Asset	mg/L
Temperature	Water quality	deg C	Condition code	Asset	N/A
Free Chlorine	Water quality	mg/L	Material	Asset	N/A
Total Chlorine	Water quality	mg/L	Velocity	Modelled	m/s
Manganese	Water quality	mg/L	Residence time	Modelled	Seconds
Conductivity	Water quality	S/m	Water Age	Modelled	Hours
Nitrite	Water quality	mg/L	Urban / Rural	GIS derived	N/A
Nitrate	Water quality	mg/L			
Month/Year	Water quality	N/A			

These fields were imported into MATLAB either as numeric data or strings depending on the variable. For the main analysis the data was considered as 1) explanatory asset information, 2) stagnation effect or 3) explanatory cause and/or effect of stagnation.

Data preprocessing

A number of programmatic stages to deal with the variable types in an appropriate manner were required:

- **Data cleaning**

Scanning the data and dealing with issues such as missing data or null fields

- **Outlier removal**

The raw data often has quite significant outliers. This is a typical problem with extracts from corporate databases and may manifest as impossibly large values for variables, or negative error codes such as -99. A degree of pre-processing is required to 'clean' any data sets used. In this case, as well as processing for error codes (conversion to NaNs) outliers were removed using the Thompson Tau method [9] which utilises Maximum Likelihood Estimation with an alternative outlier model.

- **Data transformation and normalisation**

Normalising the input vectors for SOM ANN analysis was conducted. When using values as potential labels for SOMs some intermediate transformation was often required. In addition, GIS was used to create auxiliary variables such as urban / rural identifier for pipes, by using a kernel density GIS map function.

SOM analysis

The SOM for the training vectors was generated using the program MATLAB (Version 7.2.0.635; The Mathworks Inc.) using the SOM toolbox developed at the Helsinki University of technology (available online at <http://www.cis.hut.fi/projects/somtoolbox>). The input layer consisted of a number of neurons corresponding to the number of variables used and the output layer consisted of a hexagonal Kohonen map whose size was optimally selected by the SOM toolbox. A batch training method was used with a Gaussian neighbourhood. The initial learning rate of 0.5 was used for the first rough phase of training corresponding to the creation of a 'coarse' mapping which is when the global order is imposed on the map. Later the learning rate is reduced to 0.05 for the second phase, in which the fine structure is added to the map while preserving the global order. A trained network can be labelled in a manner described by Kohonen. Each output neuron is tested against a set of inputs of some known classification. For each set, consisting of an input of each class, the distances between the weights of that neuron and the inputs are calculated and the class corresponding to the closest input is noted. A majority verdict over all the sets (using a voting approach) then determines a nodes class label, with a draw resulting in a node remaining unclassified.

RESULTS AND DISCUSSION

Base water quality sampling

Firstly, the regulatory data only was used to check the base water quality relationships. Figure 2 shows the resulting final Kohonen SOM map (here a 32 x 21 hexagonal map). This map contains color coded hexagons that summarize all of the component planes that represent individual variables. There are two separate parts of the SOM display. These are the summary U-matrix and the component planes for each individual variable. The U-matrix allows examination of the overall cluster patterns in the input data set after the model has been trained. Each hexagonal cell represents individual neurons, which are the mathematical linkages between the input and output layers. In the component planes for individual variables, the coloring corresponds to actual numerical values for the input variables that are referenced in the scale bars adjacent to each plot. Blue shades show low values and red corresponds to high values. Visual inspection of the component planes allows examination of how variables vary against each other. A temporal aspect was included by incorporating the month (with 1 as January to 12 as December) and year (of the sampling). We can immediately see how the temperature measurement band relates to the summer months, but there are also some other interesting factors revealed such as nitrate values being lower during later months of the year. We can also see that when turbidity is high, iron and manganese are also generally high.

Incorporating asset information with water quality and using rural/ urban labelling

Figure 3 provides the results of incorporating asset information into the analysis. A SOM analysis for water quality and asset data was run. Relationships between water quality and asset data can be observed by looking between component planes. For example, wider diameter pipes generally have a larger condition code and may be associated with higher pH. From GIS analysis it was observed that there was a difference between patterns occurring in rural/ urban areas. In order to separate the data points along these lines a kernel density GIS map of the assets was produced. Assets were then labelled in a binary fashion and this category was used to label the SOM. The labelled map (bottom right in figure 3) was colored as follows: Green=rural, yellow=urban. It is evident by examining between component planes and the

labelled map, that rural areas generally appear to have lower total chlorine, and that the stagnation surrogates (Iron, manganese and turbidity) appear to have extreme values predominantly here.

Further analysis including modelled data with material labelling

A dataset derived from hydraulic modelling results was provided for further analysis, including velocities, a calculated residence time and a water age for 3240 records. It would be expected that high water age would correspond to water quality stagnation. The analysis supported this assumption, with high age being strongly correlated to increased concentrations of iron, manganese, turbidity and nitrite (see Figure 4). The final SOM uses material of pipes for labelling, which are classified by name type. Of the 11 sorts of material in the database only the following are considered (accounting for over 99% of assets): CI (C), DI (D), HPPE/PE100 (H), MDPE /PE80 (M), PVCu (P) ST (ST). Figure 4 provides the results for the labelled map using the above material codes – bottom right hand corner with colors as follows: Orange = Cast Iron, Yellow = Ductile Iron, Blue = MDPE/HPPE/PVC, Light blue= Unclassified/Other. We can immediately see strong clustering of material types and we can relate this to areas of component planes in figure 4. For example, the plastic pipes generally have a low value of condition code, low values of conductivity seem to be associated with ductile iron and as we would expect the clusters of high turbidity, iron and manganese correspond to cast iron pipes. More complex relationships can be gleaned as well, such as cast iron pipes with medium diameters and a long residence time correlate to increased levels of iron, turbidity and manganese and lower chlorine.

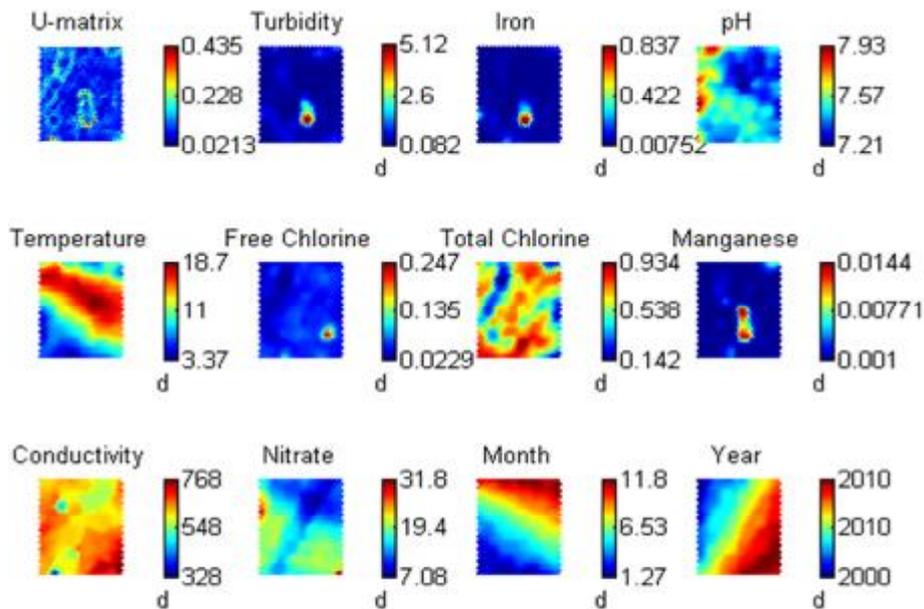


Figure 2. SOM for regulatory sampling – with year and month of sample

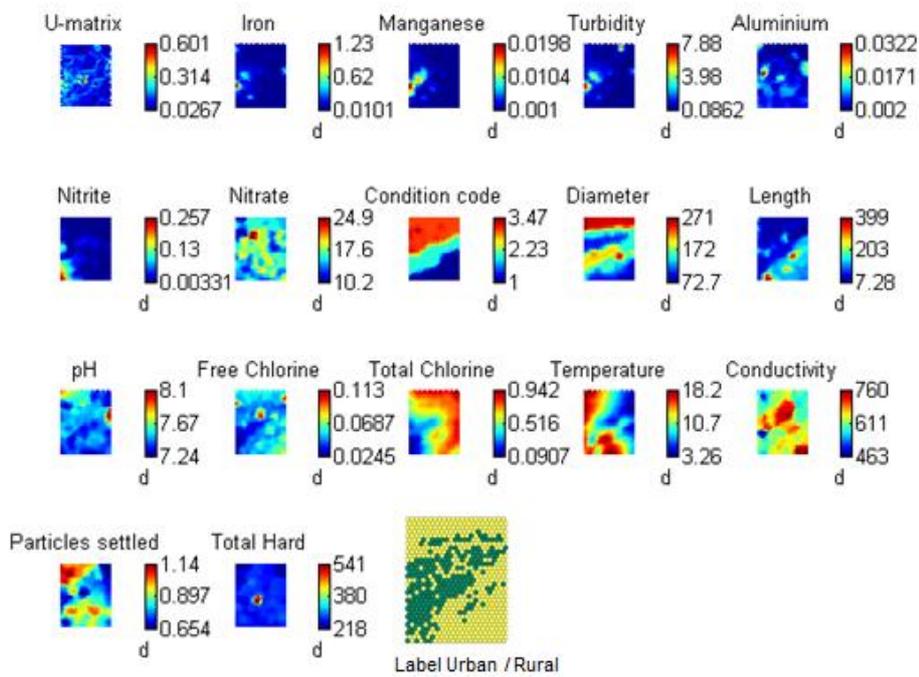


Figure 3. SOM results for water quality, asset, modelled data and urban/rural label

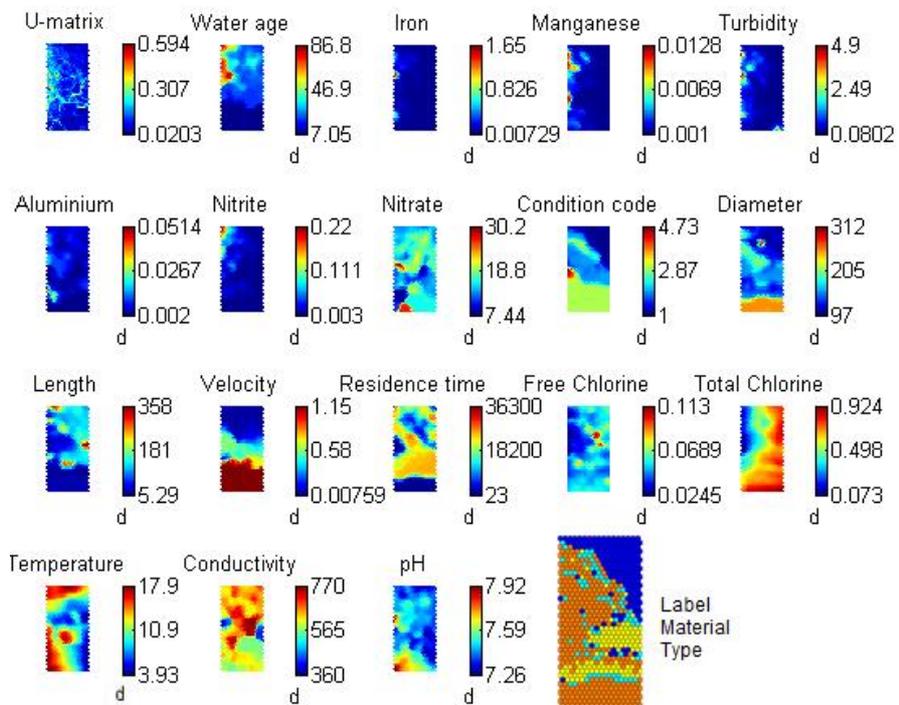


Figure 4. SOM results for water quality, asset, modeled data and with material type label

CONCLUSIONS

This study has demonstrated the application of advanced techniques to perform highly novel analysis and interpretation of complex, diverse and variable quality data from water company databases. The ability of SOMs for data mining large, multi-dimensional data sets, including the integration of heterogeneous data types across multiple databases, was utilized to identify relationships between water quality, modeled and asset data. They offer a useful synthesis and higher-fidelity visualization and hence understanding of data which is otherwise impossible for humans to grasp. A key finding from the data analysis is that the risk for water quality stagnation, for this region, appears to be greatest in cast iron pipes with medium diameters, medium to high residence times, high water age, high condition code and located in rural areas. Samples with iron failures are often associated with lower chlorine residuals and/or indicators of nitrification like nitrite. The benefit of this work is an improved understanding of water quality in stagnant zones to enable the production of robust risk assessment. Ultimately this will help deliver improved levels of service, water quality compliance and fewer customer contacts and to achieve the optimum use of resources through better stagnation flushing procedures.

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