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Combating SARS-CoV-2: Leveraging microbicidal experiences with other emerging/re-emerging viruses

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microbicides, MERS-CoV, Nipah virus, SARS-CoV, SARS-CoV-2, SFTSV, targeted hygiene

Abstract

The emergence of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in Wuhan

City, China, late in December 2019 is another example of an emerging zoonotic virus that

threatens public health and international travel and commerce. When such a virus emerges, there

is often insufficient specific information available on mechanisms of virus dissemination from

animal to human or from person to person, on the level or route of infection transmissibility or of

viral release in body secretions/excretions, and on the survival of virus in aerosols or on surfaces.

 The effectiveness of available virucidal agents and hygiene practices as interventions for disrupting the spread of infection and the associated diseases may not be clear for the emergent

virus. In the present review, we recommend approaches for infection prevention and control for

SARS-CoV-2 which can be invoked based on pre-existing data on microbicidal and hygiene

- effectiveness for related and unrelated enveloped viruses.
-

Late in December 2019, cases of pneumonia began appearing in Wuhan City, Hubei Province,

China. By early January 2020, these cases were attributed to a novel coronavirus that was

34 temporarily referred to as 2019 Novel Coronavirus $(2019 \text{-} n \text{CoV})^1$. This member of the

35 *Coronaviridae* family has now officially been named SARS-CoV- 2^2 . As of April 17, 2020³,

there have been over 2,074,529 confirmed cases globally, with 139,378 deaths (mortality rate of

~6.7%). This emerging virus, and the associated disease (COVID-19), are not only impacting

public health, but also international commerce and travel. As with the Middle East Respiratory

 Syndrome coronavirus (MERS-CoV) that emerged in Saudi Arabia in 2012 and the Severe Acute Respiratory Syndrome coronavirus (SARS-CoV) that emerged in China in early 2003, SARS-

41 CoV-2 is considered a zoonosis, with bats suspected as the primary host species (Table $1)^4$.

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42 The *Coronaviridae* family is just one of several families of enveloped viruses that have

- 43 emerged/re-emerged in recent years⁵⁻⁹ (Table 1). While the list of viruses in Table 1 is not
- 44 intended to be comprehensive, it contains most of the virus families attributed to the World
- 45 Health Organization's current list of disease priorities needing urgent $R&D$ attention¹⁰ (i.e.,
- 46 MERS and SARS [*Coronaviridae*], Crimean Congo hemorrhagic fever (*Nairoviridae*), Rift
- 47 Valley fever [*Phenuiviridae*], Ebola virus disease and Marburg virus disease [*Filoviridae*], Nipah
- 48 and Hendra virus disease [*Paramyxoviridae*], and Lassa fever [*Arenaviridae*]).
- 49

Table 1. Characteristics of selected emerging/re-emerging viruses

50 *****Abbreviations used: ±, ambisense; -, negative sense; +, positive sense; ss, single-stranded.

51 Segments (1) equates to a non-segmented genome. †Now referred to as Huaiyangshan

52 banyangvirus.‡Suspected primary host based on >90% sequence homology to bat coronaviruses

53 63 .

54

55 The emerging/re-emerging viruses shown in Table 1, with the exception of enterovirus D68, 56 each are relatively large, enveloped, zoonotic viruses with single-stranded RNA genomes.

57 Enterovirus D68 (EV-D68), a small non-enveloped virus of the *Picornaviridae* family is an

58 example of a re-emerging virus from that family. While EV-D68, may also be zoonotic 11,12 , a

59 reservoir species has yet to be identified.

60 Aside from the characteristics described in Table 1, what other commonalities exist for these

61 emerging/re-emerging zoonotic viruses? Can we use these commonalities as the basis for

62 proposing approaches for infection prevention and control (IPAC)? In the remainder of this

63 review, we examine various aspects of the emerging/re-emerging viruses that are important in

64 formulating approaches for IPAC, namely transmissibility, infectivity, viral shedding,

65 environmental survival, and expectations regarding microbicidal efficacy for targeted hygiene 66 practices. This information may then be leveraged to effectively mitigate the health risks

67 associated with SARS-CoV-2 and its associated disease (COVID-19), as well as with future

68 emerging/re-emerging enveloped viruses.

69 **Transmissibility of Emerging/Re-emerging Viruses**

70 According to several authors^{13,15}, sustained person-to-person transmission of viruses is favored

71 by certain viral characteristics, including lack of a lipid envelope, small particle size, limited

72 genomic segmentation, and low mortality of the associated disease¹³⁻¹⁵. Tropism of the virus for

73 the liver, central nervous system (CNS), or the respiratory tract, and lack of vector-borne

74 transmission also appear to favor sustained person-to-person transmission^{13,14}. On the other hand,

75 possession of an RNA vs. a DNA genome was not found to contribute to the likelihood of such

76 sustained transmission $13,14$.

77 It is of interest that many of the viral characteristics mentioned above that are considered

78 predictive of sustained person-to-person transmissibility are not shared by the viruses associated

79 with the World Health Organization (WHO) diseases of concern¹⁰. Namely, all of the

80 emerging/re-emerging diseases mentioned in the WHO list¹⁰ involve relatively large enveloped

81 viruses with ssRNA genomes, many of which are segmented. Of the emerging/re-emerging

82 viruses listed in Table 1, only EV-D68 is a small, non-enveloped virus. In addition, many of the

83 listed viruses exhibit high human mortality (Tables 1 and 2).

84

Table 2. Transmission and mortality of emerging/re-emerging viruses

85 *"Contact" refers to contact with bodily fluids or with fomites; "aerosols" equates to respiratory

86 aerosols/droplets. CNS, central nervous system, GI, gastrointestinal

87

88 Certain predictive factors¹³⁻¹⁵ that do seem to be shared by the emerging/re-emerging viruses in the list in Table 1 include tropism for the respiratory tract or the CNS, and lack of vector-borne transmission. While most enteroviruses are less susceptible to acid and are disseminated by the fecal-oral route, EV-D68 is acid-labile and has a lower temperature optimum, reflecting its tropism for the upper respiratory tract rather than the gastrointestinal tract (i.e., EV-D68 acts 93 more like a rhinovirus than an enterovirus)¹⁶.

 It is unknown if sustained person-to-person transmissibility necessarily equates to a high level of concern for an emergent zoonotic virus. For instance, there appears to be no evidence that 96 Hendra virus (another zoonotic enveloped virus) has shown person-to-person transmission¹⁷, yet this virus is similar to Nipah virus in many respects and is of concern, due its high mortality rate in humans.

99 As mentioned in Table 2, the most common modes of transmission for the emerging/re-100 emerging viruses discussed in this review are contact with infected bodily secretions/excretions 101 and contaminated fomites, especially high-touch surfaces (HITES), and inhalation of respiratory droplets/aerosols containing infectious virus (Fig 1). The intermediacy of hands in transmission

through contact is emphasized in Fig. 1.

- **Figure 1.** Modes of transmission of viruses, emphasizing respiratory infections such as SARS-
- 107 CoV, MERS-CoV, and SARS-COV-2 (modified from Otter et al.¹⁸).

The animal-to-human and person-to-person transmission of SARS-CoV-2 and associated

 COVID-19 disease appears to occur in a manner similar to that described for MERS-CoV and SARS-CoV.

Infectivity and Virus Shedding of Emerging/Re-emerging Viruses

 The infectivity of a virus refers to its ability to initiate infection of host cell with production of 114 viral progeny. The infectious dose₅₀ (ID₅₀) is the smallest number of infectious virus particles that will lead to infection of 50% of an exposed population²⁰, and is dependent a number of factors, such as the species, age, or race of the host, the receptor, immune, and nutritional status of the host or host tissues, and the portal of entry of the virus. In the case of most viruses, only a 118 percentage of those infected actually develop clinical illness²¹. Those who remain asymptomatic represent subclinical cases of the infection in whom the virus may still replicate and be released into the environment. IPAC may be difficult in the face of such silent disseminators (virus carriers/shedders). Exposure to as low as one infectious viral particle has a probability of causing 122 an infection leading to disease, although that probability varies from virus to virus²². Typically, 123 infectious doses are empirically derived and reported in units of 50% infective dose (ID_{50}) values that reflect the doses capable of infecting half of the subjects exposed. As prospective studies in

humans of highly pathogenic viral diseases with potentially fatal outcomes cannot ethically be

performed, very limited data exist on the infectivity of the emerging/re-emerging viruses in

Table 1. Where studies have been performed using animals, extrapolations of such data to

humans must be made with caution.

 The estimates that have been reported for viruses listed in Tables 1 and 2 are discussed below, acknowledging the unavoidable variability in literature with regard to such assessments of infectivity. It has been stated that 1-10 infectious aerosolized Ebola virus particles can cause an 132 infection in humans^{23,24}. A similar range has been reported for the Lassa virus²⁵. Influenza virus infectivity values specific to the H5N1 and H7N9 strains are not known²² but estimates of 100 to 134 1000 infectious viral particles have been reported^{22,25}. The human infective dose for SARS-CoV has been estimated at 16 to 160 plaque-forming units²⁶. Data on the human infectious doses for MERS-CoV, SFTSV, Nipah virus, and EV-D68 have not been reported. Until such data become available, it should be assumed that these emerging/re-emerging viruses, including SARS-CoV-138 2, have relatively low ID₅₀ values.

 Once infected with one of these emerging/re-emerging viruses, during the prodromal period before actual appearance of symptoms, as well as once symptoms appear, the infected individual may become a shedder of infectious particles. The extent to which virus shedding might lead to dissemination of the associated disease depends upon a number of factors, including the amount of virus released (shed), the infectivity of the virus within the released matrix (droplets/aerosols, fecal/diarrheal discharge, and other excretions including respiratory secretions), and the survival of the released viruses within such matrices once dried on HITES. Extent of virus shedding, 146 unfortunately, is commonly measured through detection of genomic material^{e.g., 18,22,27,28}, rather than through use of infectivity assays, so there are only limited data available on infectious viral shedding.

 As displayed in Fig. 1, transmission of respiratory infections commonly involves the intermediacy of the hand. The same can be said about gastrointestinal infections (i.e., through the 151 fecal-oral route). The SARS-CoV, MERS-CoV, and SARS-CoV-2 have been reported^{18,19} to be shed from patients both within respiratory and gastrointestinal secretions/excretions, therefore it is likely that contaminated HITES and respiratory droplets/aerosols also play an important role in dissemination of the SARS-CoV-2 through the intermediacy of hands.

Viral Survival on Environmental Surfaces and in Air

Knowledge of the transmissibility and infectivity of emerging/re-emerging viruses enables one to

assess the risk of spread of a viral disease in the case that infectious virus is shed from an

infected individual and is deposited on environmental surfaces/fomites or in droplets/aerosols.

Another important factor to consider when assessing risk is the survival (i.e., the continued

infectivity) of these viruses on the environmental surfaces/fomites or in air in the form of

droplets/aerosols.

There is much more information addressing survival of infectious viruses on environmental

surfaces than in aerosols. The data that are available address a number of environmental factors

164 of relevance¹⁸, including the types and porosities of the surfaces, the matrices in which the

- viruses have been suspended prior to being deposited onto the surfaces the temperature and
- 166 relative humidity (RH), and methods used for measuring survival (e.g., log_{10} reduction in
- 167 infectivity per unit time, infectivity half-life, etc., infectious titer after a measured duration, etc.).
- 168 For Table 3, the results that have been displayed focus on room temperature (ambient) conditions
- 169 at relatively low and medium RH. Table 3 should not, therefore, be considered to represent a
- 170 comprehensive review of literature for survival of these viruses. For a more systematic review of
- 171 coronaviruses survival on environmental surfaces under various conditions, see the reviews by 172 Otter et al.¹⁸ and Kampf et al.²⁹. For certain viruses (e.g., SFTSV), survival data are not yet
- 173 available, so data for surrogate viruses from the same or similar families are shown. Persistence
- 174 of SARS-CoV-2 on surfaces and in air has recently been reported by van Doremalen et al.³⁰
- 175 SARS-CoV-2 was found to remain viable in aerosols for at least 3 hours, and for up to 24 hours
- 176 on cardboard and 2 to 3 days on plastic and stainless steel surfaces³⁰.
- 177

Table 3. Environmental survival of emerging/re-emerging viruses at room temperature

- 178 †No data for SFTSV are available, the result displayed is for Crimean-Congo virus.
- ^{*}Aerosol data for coronavirus 229E³¹. Survival half-life depended on humidity. The values
- 180 ranged from 3.3 h (~80% RH), 67 h (50% RH), to 27 h (30% RH).
- 181 ‡No data for EV-D68 are available; the result displayed is for human rhinovirus type 14 at 15-
- 182 55% RH⁶⁵.
- 183 The authors only evaluated times up to 3 h.
- 184

185 **Hierarchy of Microbicidal Efficacy for Inactivating Pathogens**

- 186 Infectious virus survival in aerosols or on HITES represents a source for dissemination of
- 187 emerging /re-emerging viruses, including SARS-CoV-2. The enveloped viruses listed in Tables 1
- 188 and 2 should be relatively susceptible to the inactivating activity of a variety of microbicides, as
- discussed below. Sattar³² previously has advanced the concept of utilizing the known knowledge
- 190 of the susceptibility human viral pathogens to chemical disinfecting agents (microbicides)^{33,34} to
- 191 predict the efficacy of such agents for inactivating emerging /re-emerging viral pathogens. This
- 192 concept, referred to as a hierarchy of susceptibility to microbicides^{32,33}, is portrayed in Fig. 2. As
- 193 shown, pathogenic agents can be viewed as displaying a continuum of susceptibilities to
- 194 microbicides, with enveloped viruses at the bottom of this hierarchy, highlighting their relatively
- 195 high susceptibilities to formulated microbicides $32,33$.

 Among pathogens, prions are considered to be the least sensitive to microbicides, requiring highly caustic solutions for inactivation. Bacterial spores and protozoan cysts/oocysts are next on the microbicidal susceptibility spectrum. Small, non-enveloped viruses are considered to be less susceptible to microbicides, although have increased susceptibility to high pH, oxidizers such as sodium hypochlorite, formulated hydrogen peroxide, alcohols, and a variety of microbicidal actives, relative to spores and protozoan cysts/oocysts. Mycobacteria, fungi, vegetative bacteria and enveloped viruses appear to be less resistant to certain formulated microbicides, such as alcohols, oxidizers, quaternary ammonium compounds (QAC), and phenolics (e.g., p-chloro-m- $xvlenol^{29,32-45}$.

 Figure 2. Hierarchy of sensitivity of pathogens to formulated chemical microbicides (adapted 208 from Sattar³²). Data are from a variety of sources^{29,32-45}.

- It is of interest that the enveloped viruses are considered to be the most susceptible to a
- variety of formulated microbicidal actives, even more so than fungi and vegetative bacteria,
- yeast, and mycobacteria (Fig. 2). Viral envelopes are typically derived from portions of the host
- cell membrane and therefore comprise host cell phospholipids and proteins (Fig. 3), as well as
- some virally inserted glycoproteins. Since the envelopes contain lipid material, they are readily
- destroyed by phenolics such as p-chloro-m-xylenol (PCMX), oxidizing agents such as sodium
- hypochlorite and activated hydrogen peroxide, quaternary ammonium compounds, alcohols, and
- detergents. Even mild detergents such as soap may inactivate enveloped viruses by denaturing
- the lipoproteins in the envelope. This makes them more susceptible to most of the formulated
- virucidal microbicides commonly used for IPAC.
-

- **Figure 3.** Ultrastructural differences between enveloped and non-enveloped viruses.
- Genotypically, these viral genomes may be single-or double-stranded, and segmented or non-
- segmented (examples are not shown in the figure).

227 It can be assumed as a starting point, therefore, that the enveloped emerging/re-emerging viruses listed in Table 1 should be readily inactivated by a variety of formulated microbicidal 229 actives. This assumption has, in fact, been verified by extensive empirical data $^{29,32-40,42-44}$, and 230 has been embraced by the U.S. Environmental Protection Agency⁴⁶. The data for various 231 members of the *Coronaviridae* family have recently been reviewed by Kampf et al.²⁹, and these 232 data support the expectation that SARS-CoV-2 and other coronaviruses of concern (e.g., MERS- CoV, SARS-CoV, mouse hepatitis virus, porcine epidemic diarrhea virus, etc.) should be readily inactivated by commonly employed and commercially available microbicides, including QAC. 235 In addition, a European guidance document⁴⁷ recently has been issued that lists a variety of microbicidal agents that have demonstrated efficacy against a variety of human and animal coronaviruses, and that, therefore, could be applied for decontamination of surfaces in non-

healthcare facilities.

 Aqueous solutions of the phenolic, PCMX, at concentrations of 0.12 -0.48% by weight were 240 shown to inactivate $>4 \log_{10}$ of infectious Ebola virus - Makona variant (EBOV/Mak) suspended 241 in an organic load and evaluated in liquid inactivation studies $43,48$ or dried on a steel surface in a carrier inactivation study⁴⁸. In each case, complete inactivation of $\geq 6.8 \log_{10}$ of EBOV/Mak was observed after contact times ≥5 min. In addition, EBOV/Mak dried on prototypic steel carriers 244 was completely inactivated $(\geq 6.5 \log_{10})$ by aqueous solutions of 70% ethanol or 0.5% or 1%

245 NaOCl ($>0.5\%$) after contact times >2.5 min³⁹.

 Microbicidal formulations based on oxidizing agents, QAC, alcohols, phenolics, and aldehydes displaying virucidal efficacy for enveloped viruses and relatively less susceptible non- enveloped viruses (such as human norovirus surrogates) have been recommended for 249 decontaminating environmental surfaces or materials used for food preparation^{49,50}. The efficacy of ethanol and QAC actives for inactivating the norovirus surrogate feline calicivirus depends on how the microbicides are formulated. Factors such as the addition of an alkaline agent were found to increase their efficacy⁵¹. Microbicides satisfying these requirements can be regarded as effective against emerging/re-emerging viruses such as SARS-CoV-2. Following this logic, the U.S. EPA has invoked an Emerging Viral Pathogen Policy in the past for the 2009 pandemic

255 influenza, for the Ebola virus, and most recently for SARS-CoV- 2^{52} .

 In the case of highly pathogenic emerging/re-emerging viruses such as SARS-CoV-2, effective and frequent targeted hygiene using appropriate microbicides is essential for prevention of dissemination. Practicing hygiene inappropriately and only once daily may not be sufficient. For instance, infectious coronavirus 229E was detected on HITES (e.g., door knobs) in a 260 university classroom in which samples were collected daily over a one-week period⁵³. Vigilant decontamination of HITES becomes of paramount importance when dealing with highly pathogenic viruses with relatively low human infectious doses, as is the case with many of the emerging/re-emerging viruses, including SARS-CoV-2, being discussed in this review.

 The enveloped emerging/re-emerging viruses listed in Table 1 display high susceptibility to inactivation by ultraviolet light at 254 nm, an inactivation approach amendable to inactivation of 266 aerosolized viruses³¹. For instance, empirical data⁵⁴ for Lassa virus, Hantavirus, and Ebola virus, and for the virus families (*Coronaviridae, Orthomxyoviridae, Paramyxoviridae, Phenuiviridae*) 268 indicate that UV fluencies of 3 to 14 mJ/cm^2 should inactivate 4 log₁₀ of the enveloped viruses in 269 Table 1. These fluency values are relatively low, compared to those needed to inactivate $4 \log_{10}$ 270 of the least susceptible viruses, such as those of the *Adenoviridae* (98-222 mJ/cm²) and

271 Polyomaviridae (235-364 mJ/cm²) families of non-enveloped viruses⁵⁵.

Personal Hygiene Practices for Preventing Infectious Virus Acquisition

A 2005 study on SARS-CoV indicated the presence of genomic material for that virus in air and

274 on HITES within a SARS patient's room⁵⁶, indicating the likelihood of airborne droplet

transmission for this coronavirus. This suggests that appropriate respiratory protection, as well as

 targeted HITES decontamination and hand hygiene, represent important interventional practices for limiting virus dissemination during outbreaks such as that occurring now with SARS-CoV-2.

The WHO has posted on their website a webpage entitled Coronavirus Disease (COVID-19)

279 advice for the public⁵⁷. Basic protective measures against SARS-CoV-2 recommended by the

280 WHO⁵⁷ include: frequent hand washing with soap and water or an alcohol-based rub, and

maintenance of social distancing (at least 1m, see Fig 1) especially in the presence of people who

- are coughing, sneezing, or have a fever. The latter recommendation is applicable to any of the
- viruses listed in Table 2 for which transmission by respiratory aerosols is expected. Avoidance of
- touching eyes, nose, mouth, or other mucus membranes with hands post-contact with HITES is
- 285 also recommended⁵⁷. As displayed in Fig 1, the hands play an important role in transfer of
- infectious virus from contaminated HITES to susceptible host's mucus membranes, enabling
- virus-host interactions initiating infection. Following the appropriate hygiene practices described
- above can potentially help in prevention and control of emerging and re-emerging viruses,
- including the currently circulating SARS-CoV-2.

Discussion

291 As Dr. Anthony Fauci eloquently stated in 2005⁵⁸ "Public health officials once suggested that it

- might someday be possible to 'close the book' on the study and treatment of infectious diseases.
- However, it is now clear that endemic diseases as well as newly emerging ones (e.g., severe
- acute respiratory syndrome [SARS]), reemerging ones (e.g., West Nile virus), and even
- deliberately disseminated infectious diseases (e.g., anthrax from bioterrorism) continue to pose a
- substantial threat throughout the world." Recent experience certainly verifies these predictions.
- 297 Weber et al.⁴¹ have correctly emphasized that "Preventing disease acquisition via person-to-
- person transmission or contact with the contaminated environment depends on rapid and
- appropriate institution of isolation precautions, appropriate hand hygiene, and appropriate disinfection of medical equipment, devices, and the environmental surfaces. Importantly, once
- the nature of the emerging infectious agent is known (i.e., enveloped virus, bacteria, fungi,
- nonenveloped virus, mycobacteria, or non-enveloped virus), it is possible to determine the
- appropriate hygienic interventions. For example, an enveloped virus (e.g., Ebola, MERS-CoV)
- or vegetative bacterium (e.g., CRE, MRSA) would be inactivated by any formulated microbicidal active(s) known to be effective against vegetative bacteria, filamentous fungi,
- 306 mycobacteria, or non-enveloped viruses."⁴¹.

 It is fortunate, though perhaps a little perplexing, that so many of the emerging/re-emerging viruses (examples listed in Table 1 and below) are enveloped viruses. It is not clear why there are not more small, non-enveloped viruses mentioned in the WHO list of viral diseases of concern¹⁰. The small non-enveloped viruses are much less susceptible to commonly employed cleaning agents (antiseptics, detergents, non-formulated microbicidal actives) and, in general, display relatively longer survival on environmental surfaces. According to theoretical modeling of sustained person-to-person transmissibility¹²⁻¹⁴, small non-enveloped viruses are predicted to be more likely to lead to sustained infections within the community. The reality is that the emerging/re-emerging viruses of concern, both in humans and in economically important animals, have more typically included enveloped viruses. Recent examples include porcine epidemic diarrhea virus, MERS-CoV, SARS-CoV and SARS-CoV-2 (*Coronaviridae*), African swine fever virus (*Asfarviridae*), Schmallenberg virus (*Peribunyaviridae*), Crimean-Congo hemorrhagic fever virus (*Nairoviridae*), Rift Valley fever virus (*Phenuiviridae*), and West Nile virus and Zika virus (*Flaviviridae*), Hantaviruses (*Hantaviridae*), and Lassa viruses

(*Bunyaviridae*).

 The fact that the emerging/re-emerging viruses are predominantly RNA viruses might be explained in part by the notion⁵⁹ that RNA viruses can more readily adapt to the rapidly changing

global and local environment due to the high error rate of the polymerases that replicate their

genomes. The RNA viruses are thought therefore to display higher evolution rates through

- mutation, gnomonic reassortment, or recombination.
- The likelihood of experiencing future emergent zoonotic viruses is high 60 , and therefore
- defining in advance appropriate approaches for limiting spread of such viruses through IPAC is
- essential. We now have the sequencing tools necessary for rapidly identifying a novel virus such
- 330 as SARS-CoV-2, the genetic sequence of which was determined within just over one week⁴.
- Provided that a novel emerging virus is found to be a member of a viral family for which we
- have sufficient microbicidal data available, including IPAC expertise, it should be possible to
- make accurate predictions as to viral transmission, survival on surfaces, and microbicidal
- efficacy. SARS-CoV-2 is no exception in this regard.
-

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